

*Directions in studies  $pA$  at RHIC =  
High energy cold nuclear matter phenomena*

*How  $pA$  at RHIC can complement  $pA$  at LHC*

*Mark Strikman, PSU*

- Nuclear pdfs - first direct evidence for gluon LT shadowing
- ✓ Leading hadron production disentangling shadowing, effective energy losses,...
- ▲ Color fluctuations, conditional pdfs

Ultraperipheral production of  $J/\psi$ :  $\gamma + A \rightarrow J/\psi + A$

$$x = m_{J/\psi}^2 / s = 10^{-3}$$

Evidence for nuclear gluon shadowing from the ALICE measurements of PbPb ultraperipheral exclusive  $J/\psi$  production

[arXiv:1305.1724](https://arxiv.org/abs/1305.1724)


V. Guzey<sup>a</sup>, E. Kryshen<sup>a</sup>, M. Strikman<sup>b</sup>, M. Zhalov<sup>a</sup>

<sup>a</sup>National Research Center “Kurchatov Institute”, Petersburg Nuclear Physics Institute (PNPI), Gatchina, 188300, Russia

<sup>b</sup>The Pennsylvania State University, University Park, PA 16802, USA

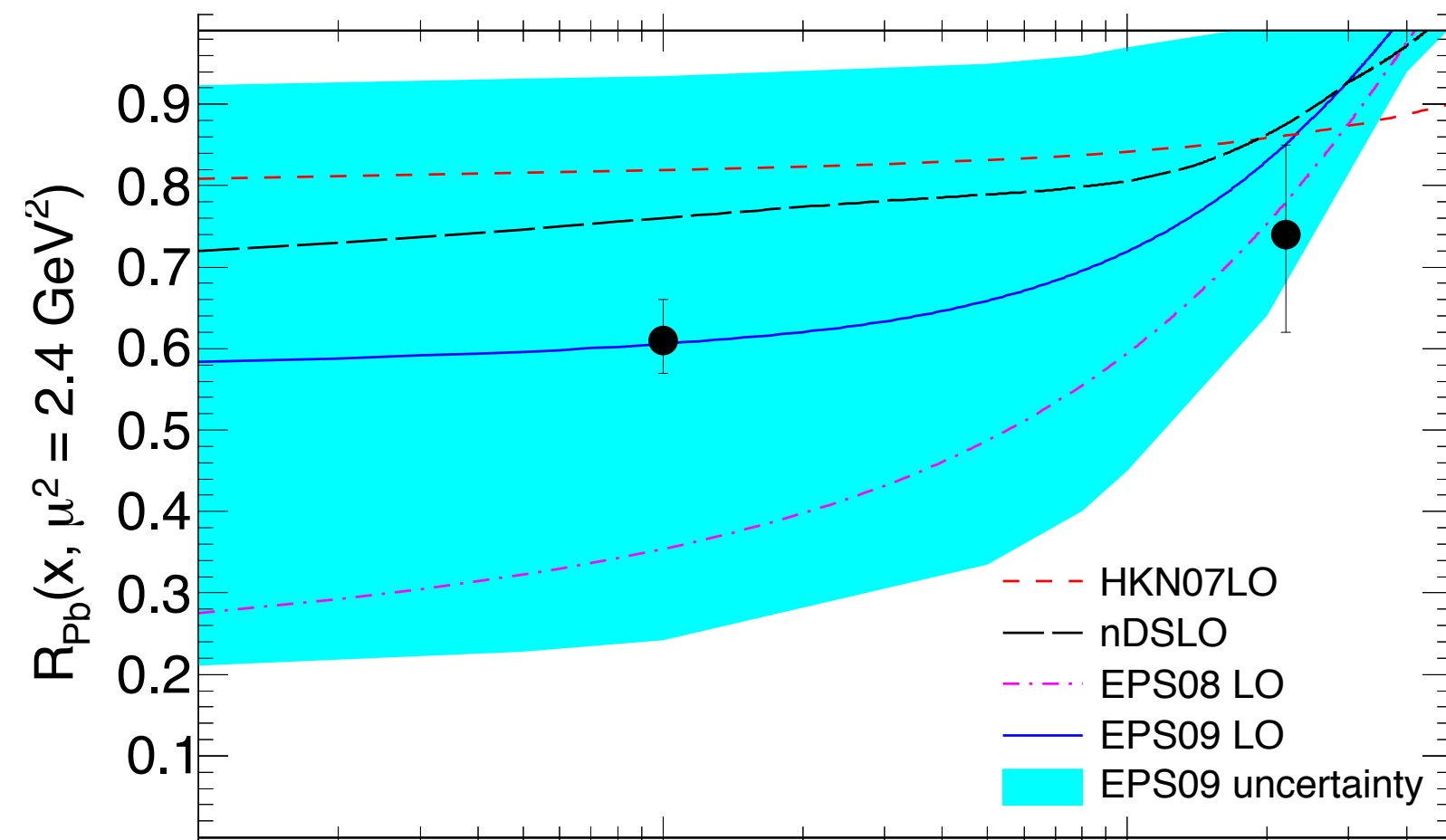
Derive from the data suppression factor  $R(W_{\gamma p}) \equiv \left[ \frac{\sigma_{\gamma Pb \rightarrow J/\psi Pb}^{\text{exp}}(W_{\gamma p})}{\sigma_{\gamma Pb \rightarrow J/\psi Pb}^{\text{IA}}(W_{\gamma p})} \right]^{1/2}$

$R =$

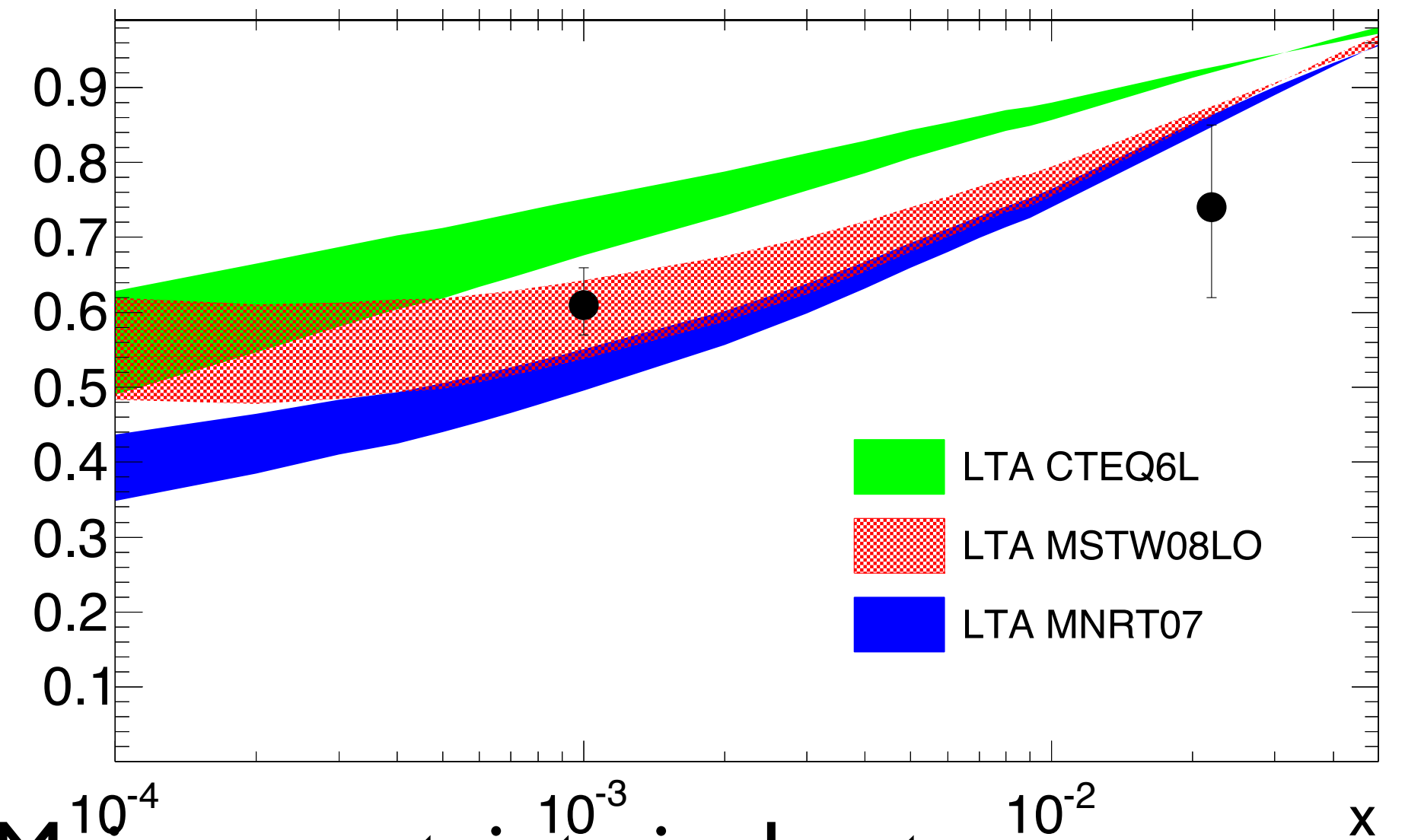

$$0.61^{+0.05}_{-0.04}$$

Brodsky et al(94):  $R = \left[ \frac{d\sigma^{\gamma^* + A \rightarrow VM+A}(t=0)}{dt} / \frac{d\sigma^{\gamma^* + p \rightarrow VM+p}(t=0)}{dt} \right]^{1/2} = \frac{G_A(x, Q_{eff}^2)}{AG_N(x, Q_{eff}^2)}$

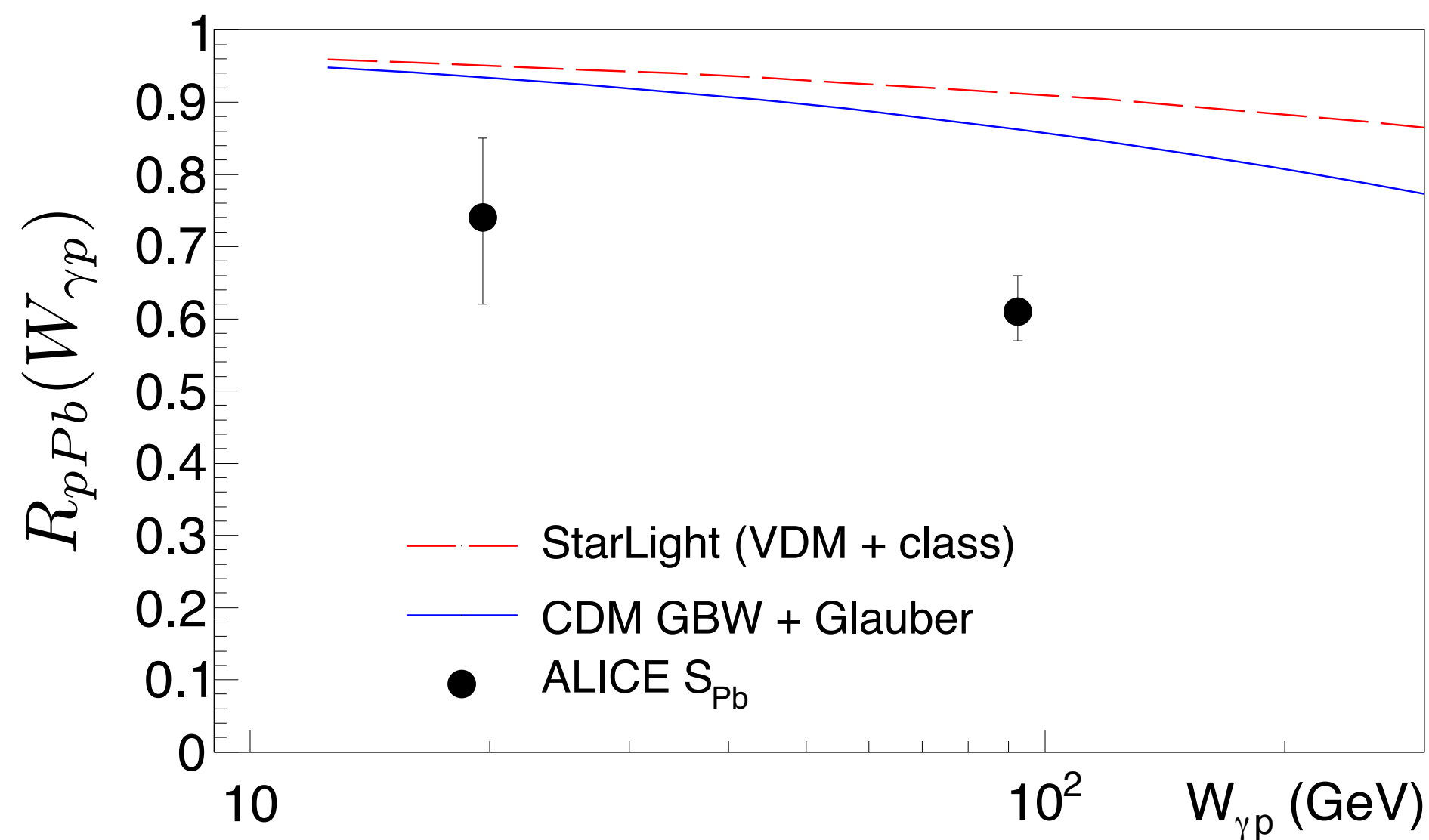
LT shadowing --Prediction of large suppression of  $J/\psi$  photoproduction at  $x \sim 10^{-3}$  based on the theory of the leading twist shadowing. Dipole model with eikonal rescattering much smaller suppression



Fits to previous data + extrapolation: huge uncertainties.



Main uncertainty is due to poor knowledge of  $g_N(x, Q)$  for  $Q^2 \sim 2.5 \text{ GeV}^2$



Glauber model with the color dipole cross section and eikonal shadowing mechanism and in the Starlight approach very small suppression

# Theoretical expectations for shadowing in the LT limit

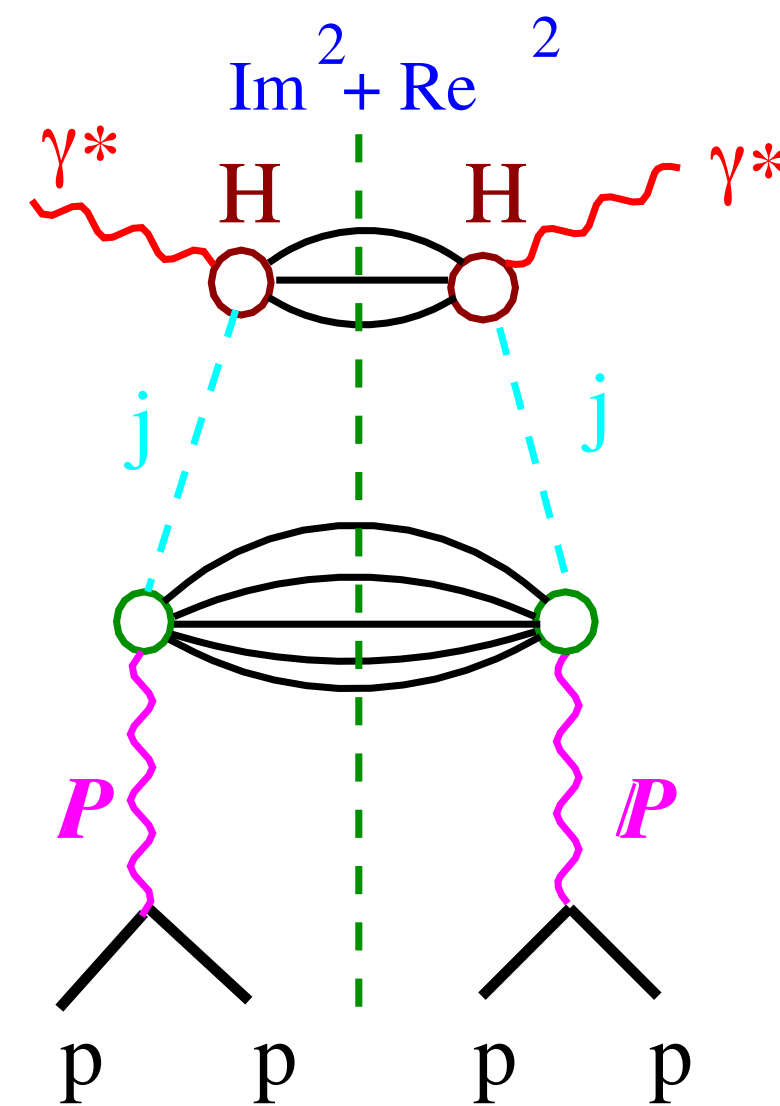
Physics Reports 512 (2012) 255–393

L. Frankfurt<sup>a</sup>, V. Guzey<sup>b,\*</sup>, M. Strikman<sup>c</sup>

*Combining Gribov theory of shadowing and pQCD factorization theorem for diffraction in DIS allows to calculate LT shadowing for all parton densities (FS98) (instead of calculating  $F_{2A}$  only)*

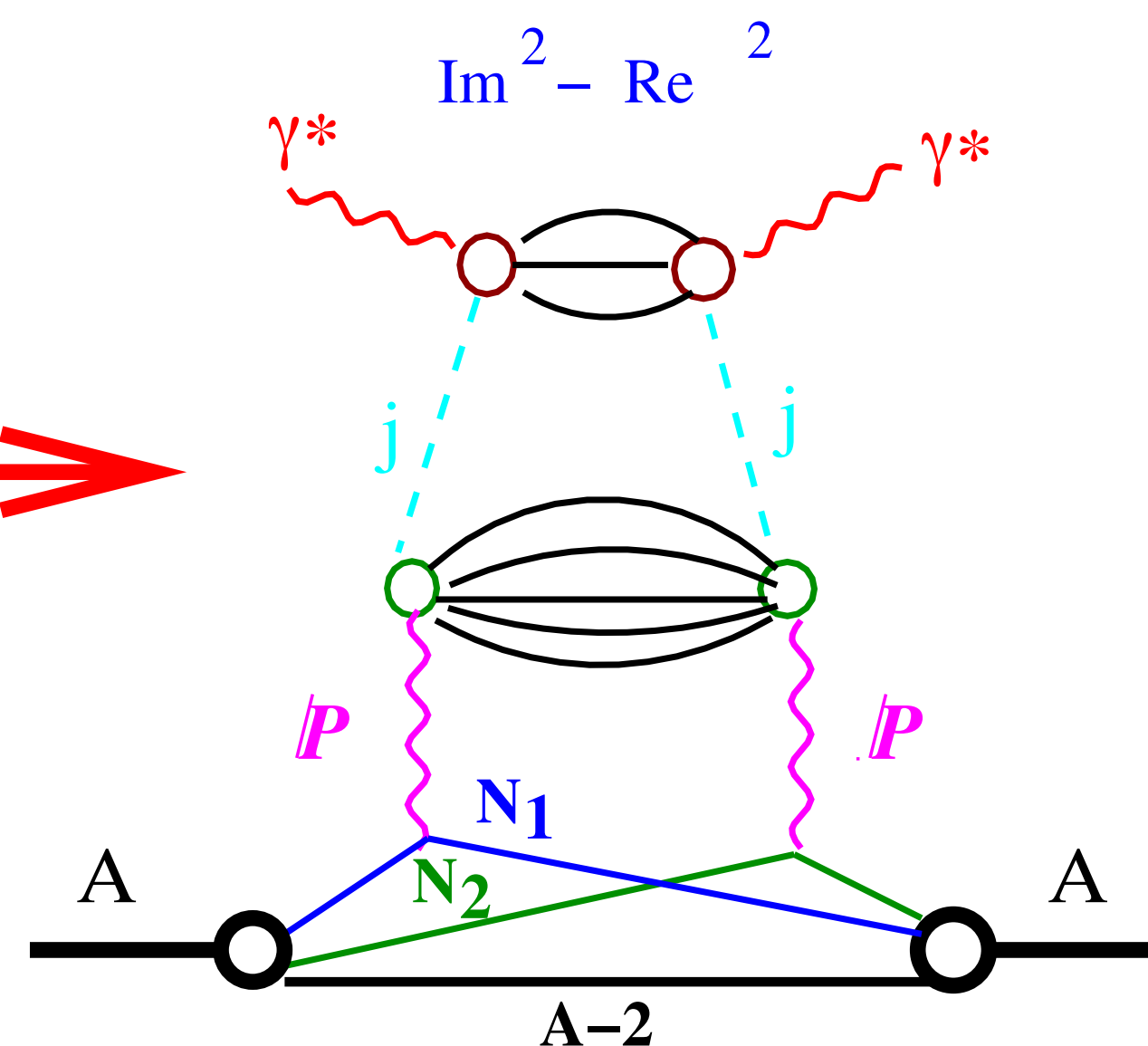
Theorem: In the low thickness limit the leading twist nuclear shadowing is unambiguously expressed through the nucleon diffractive parton densities

$$f_j^D\left(\frac{x}{x_{IP}}, Q^2, x_{IP}, t\right)$$



Hard diffraction

off parton "j"

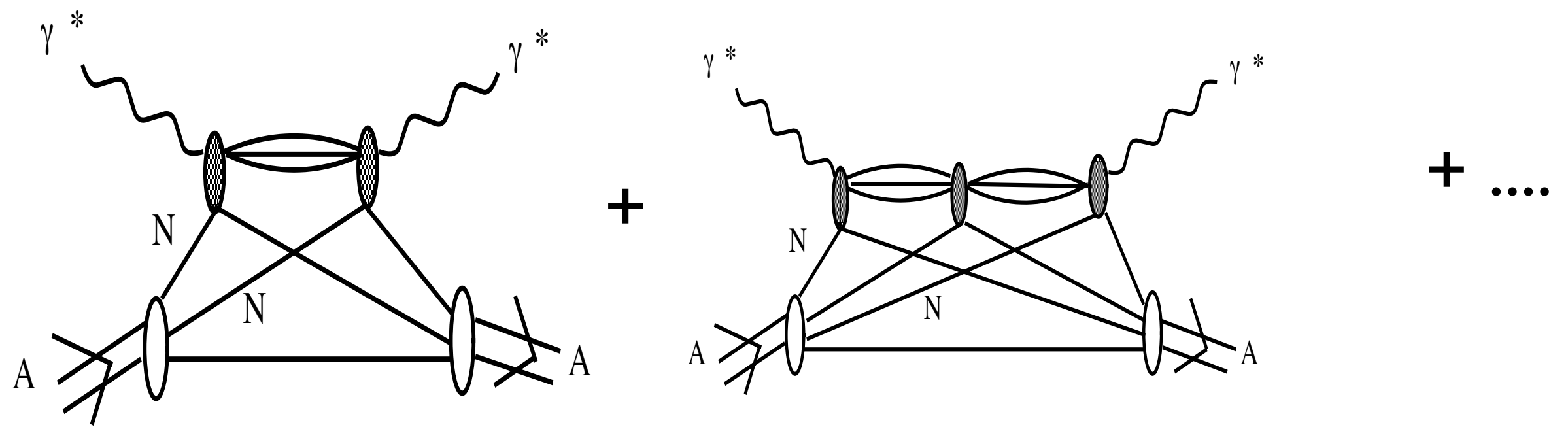


Leading twist contribution

to the nuclear shadowing for

structure function  $f_j(x, Q^2)$

Including higher order terms



*Color fluctuation approximation:* Amplitude to interact with  $j$  nucleons  $\sim \sigma^j$

$$\begin{aligned}
 x f_{j/A}(x, Q^2) &= \frac{x f_{j/N}(x, Q^2)}{\langle \sigma \rangle_j} 2 \Re e \int d^2 b \left\langle \left( 1 - e^{-\frac{A}{2}(1-i\eta)\sigma T_A(b)} \right) \right\rangle_j \\
 &= A x f_{j/N}(x, Q^2) - x f_{j/N}(x, Q^2) \frac{A^2 \langle \sigma^2 \rangle_j}{4 \langle \sigma \rangle_j} \Re e (1 - i\eta)^2 \int d^2 b T_A^2(b) \\
 &\quad - x f_{j/N}(x, Q^2) 2 \Re e \int d^2 b \frac{\sum_{k=3}^{\infty} \left( -\frac{A}{2}(1-i\eta) T_A(b) \right)^k \langle \sigma^k \rangle_j}{k! \langle \sigma \rangle_j},
 \end{aligned}$$

does not  
depend on  $f_j$

$\langle \dots \rangle_j$  integral over  $\sigma$  with weight  $P_j(\sigma)$  - probability for the probe to be in configuration which interacts with cross section  $\sigma$ ;

$$\langle \sigma^k \rangle_j = \int_0^\infty d\sigma P_j(\sigma) \sigma^k$$

6

For intermediate  $x$  one needs also to keep finite coherence length factor  $e^{i(z_1 - x z_2) m_N x_P}$



Fluctuations with small  $\sigma$  are significant only for  $\langle \sigma \rangle$ ,  $\langle \sigma^2 \rangle$

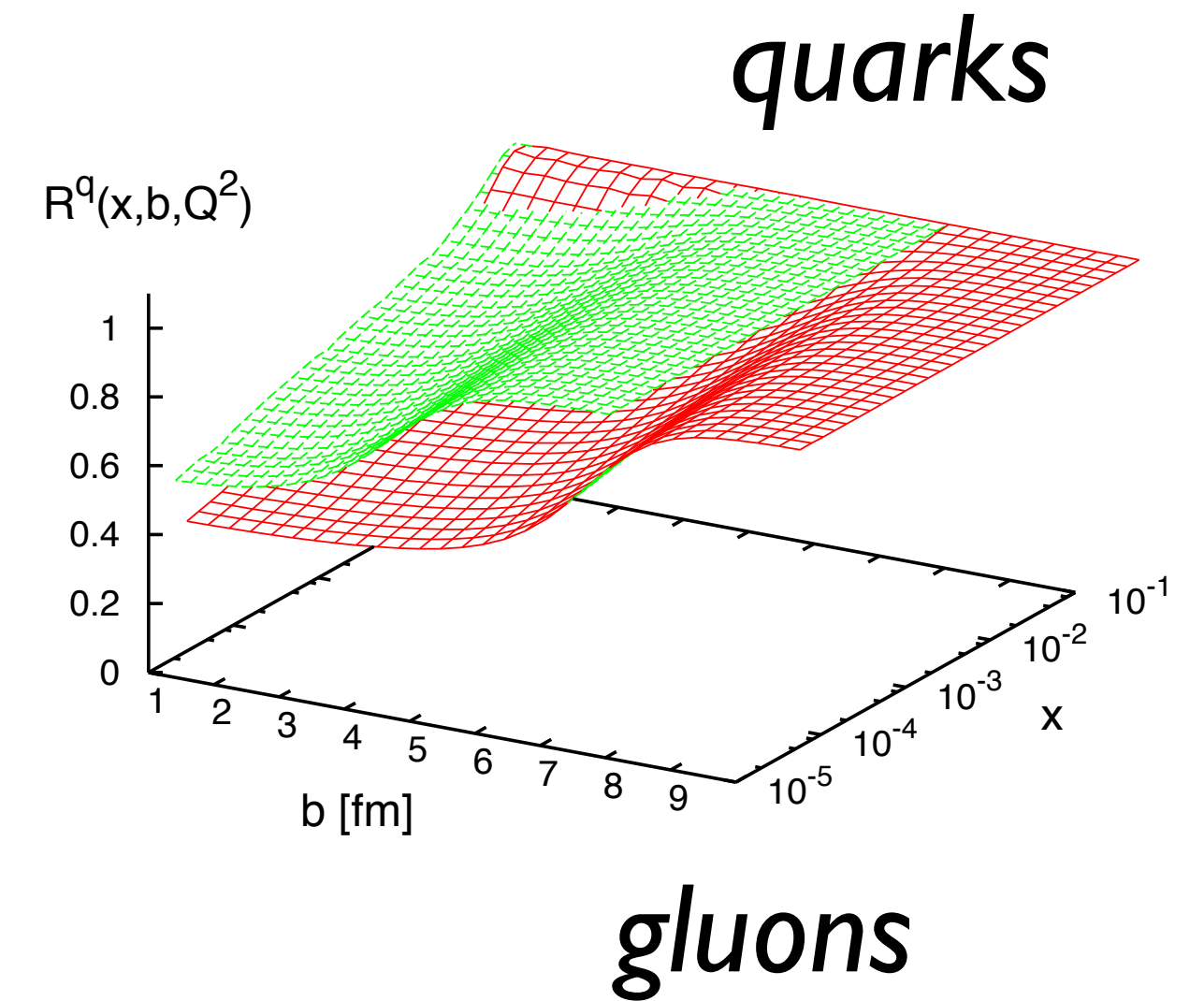
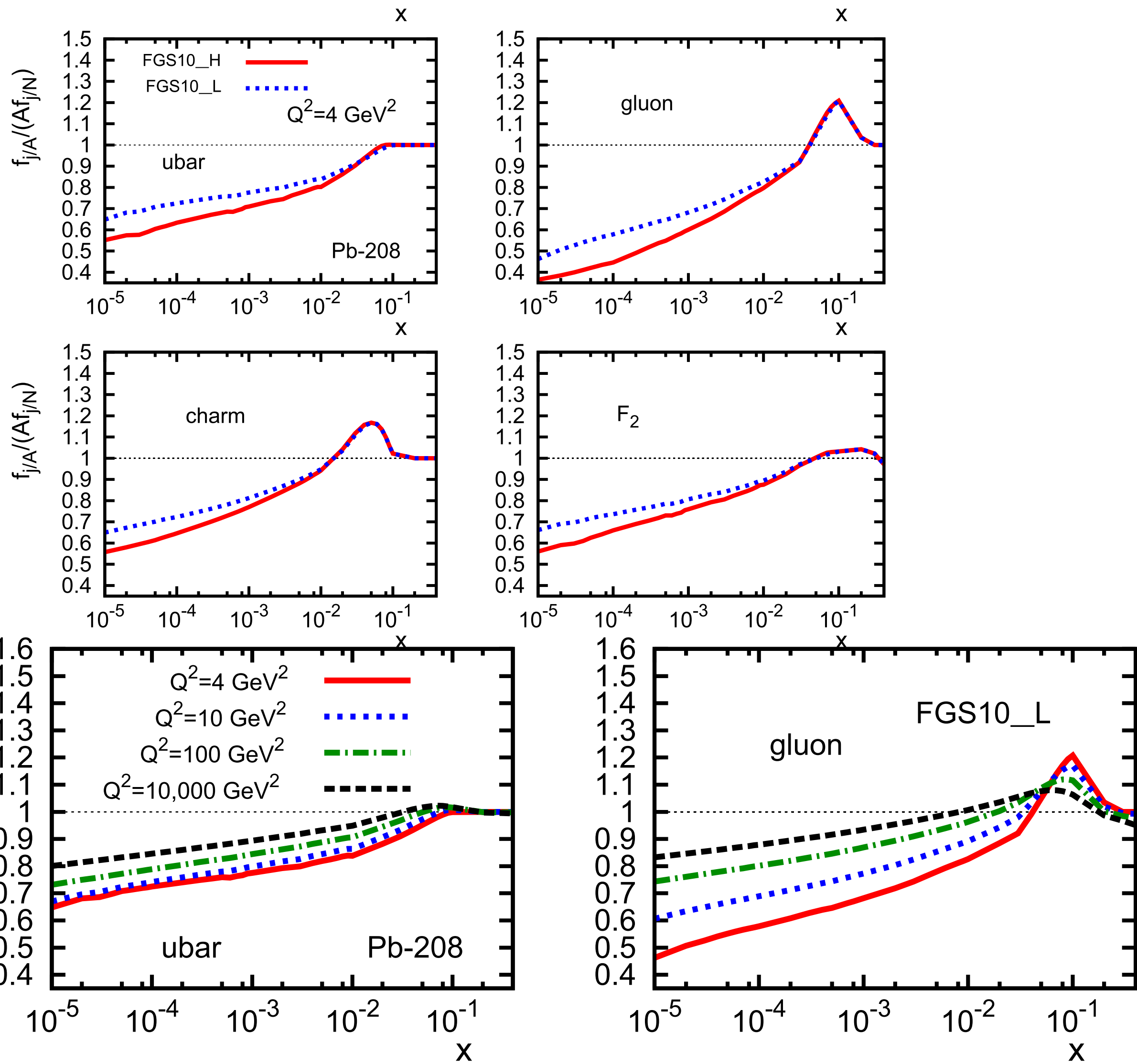
$\langle \sigma^k \rangle$  for  $k > 2$  dominated by soft fluctuations.  $\alpha_{IP}(0)=1.1$  - proof that soft dynamics dominates already for  $\langle \sigma^2 \rangle$

$\langle \sigma^k \rangle / \langle \sigma^2 \rangle$  can be modeled based on soft physics - effects of dispersion in this case known to be small ( we did a numerical study for our case where these effects are larger due to presence of small configurations).

*Fluctuation approximation for  $Q_0^2$ :*

$$\begin{aligned}
 x f_{j/A}(x, Q^2) &= A x f_{j/N}(x, Q^2) \\
 &- x f_{j/N}(x, Q^2) 8\pi A(A-1) \Re e \frac{(1-i\eta)^2}{1+\eta^2} B_{\text{diff}} \int_x^{0.1} dx_{\mathbb{P}} \beta f_j^{D(3)}(\beta, Q^2, x_{\mathbb{P}}) \\
 &\times \int d^2b \int_{-\infty}^{\infty} dz_1 \int_{z_1}^{\infty} dz_2 \rho_A(\vec{b}, z_1) \rho_A(\vec{b}, z_2) e^{i(z_1-z_2)x_{\mathbb{P}}m_N} e^{-\frac{A}{2}(1-i\eta)\sigma_{\text{soft}}(x, Q^2) \int_{z_1}^{z_2} dz' \rho_A(\vec{b}, z')}
 \end{aligned}$$

where  $\sigma_{\text{soft}}(x, Q_0^2) \equiv \langle \sigma^3 \rangle_j / \langle \sigma^2 \rangle_j$  is the only parameter (weakly dependent on x) which can be estimated semiquantitatively.



Impact parameter dependence of nuclear shadowing for  $^{40}\text{Ca}$  (upper green surfaces) and  $^{208}\text{Pb}$  (lower red surfaces). The graphs show the ratio  $R_j(x,b,Q^2)$  as a function of  $x$  and the impact parameter  $|b|$  at  $Q^2 = 4 \text{ GeV}^2$ .

Shadowing increases with decrease of  $x$   
(cf. EKS09 guess: flat at small  $x$ )



First data confirm expectation of the LT theory that cold nuclear matter parton density differs strongly from the sum of nucleon densities at least for  $x \sim 10^{-3}$  and below. More data are expected from LHC on small  $x$  region, in particular LHCb down to  $x \sim 10^{-5}$

Implications for RHIC - in the forward region one can probe  $x$  down to  $10^{-4}$  and hence check factorization. Likely to be broken for  $x_p$ 's close to the edge of the phase space (will discuss later) and expect rather large effects. In principle at lower energies one can probe smaller virtualities where modifications are stronger: Forward Drell-Yan ?

All analyses of processes which involve small  $x$  gluons like forward inclusive  $J/\psi$  production **must include effect of LT gluon shadowing**. Large/dominant effect for forward  $J/\psi$  production in pA at LHC (LHCb data).

## Forward pion production at RHIC: Summary of the challenge

- 👉 For pp - pQCD works both for inclusive pion spectra and for correlations
- 👉 Suppression of the pion spectrum for fixed  $p_t$  increases with increase of  $\eta_N$ .

Independent of details - the observed effect is a strong evidence for breaking of LT pQCD approximation. Natural suspicion is that this is due to effects of strong small  $x$  gluon fields in nuclei as the forward kinematics sensitive to small  $x$  effects.

The key question: what is the mechanism of the suppression of the dominant pQCD contribution of **quark scattering off gluons with  $x_A > 0.01$**  where shadowing effects are very small. Note also that shadowing on the scale observed by ALICE gives very small contribution to suppression in LT.

CGC scenario - **assumes** 🤞 **LT  $x_A > 0.01$  mechanism** becomes negligible, though experimentally nuclear pdf = A nucleon pdf for such  $x$  (assumes that somehow suppression of the LT mechanism should be **>>** than observed suppression of inclusive spectrum), 🤞 **2  $\rightarrow$  1 mechanism** dominates

**Post-selection scenario - LT  $x_A > 0.01$  mechanism is suppressed but still dominates inclusive cross section**


✓ *Post-selection (effective energy losses) in proximity to black disk regime (BDR)* - usually only finite energy losses discussed (BDMPS) (QCD factorization for LT) - hence a very small effect for partons with energies  $10^4$  GeV in the rest frame of second nucleus. Not true in black disk regime - post selection - energy splits before the collision - effectively 5- 15 % energy losses decreasing with increase of  $k_t$ . at  $k_t > k_t(\text{BDR})$   
Large effect on the pion rate since  $x_q$ 's,  $z$ 's are large,



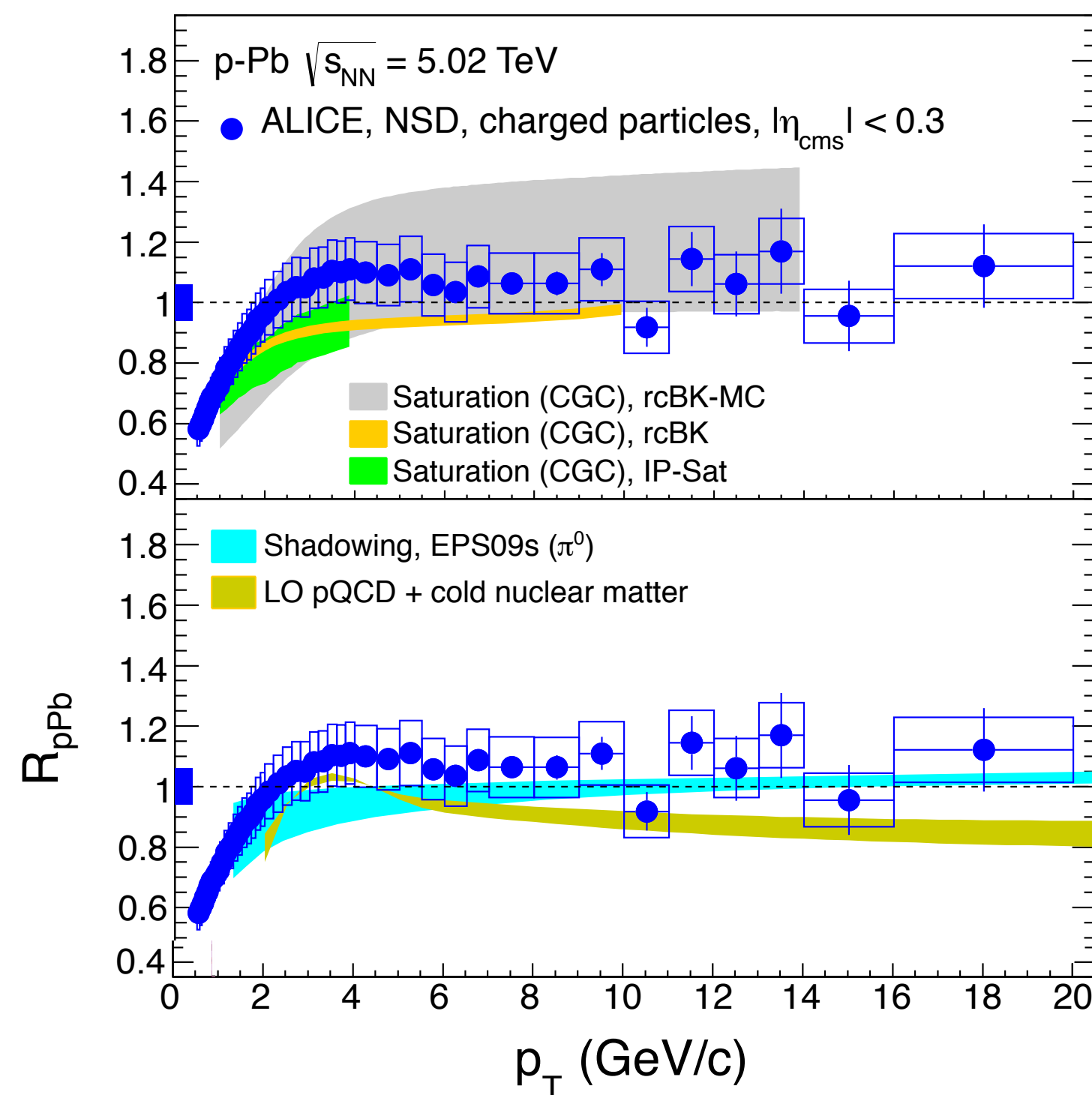
Dominant yield from scattering at peripheral impact parameters.  
Hence a modest reduction of polarized effects like  $A_N$



Post-selection is a large effect only for large  $x_F$ . At small  $x_F$   $p_t$  broadening a more prominent effect. Hence effect easier to observe at RHIC than at the LHC.


 RHIC correlation data appear to rule out CGC  $2 \rightarrow 1$  mechanism as a major source of leading pions in inclusive setup  $\Rightarrow$  NLO CGC calculations of inclusive yield grossly overestimates  $2 \rightarrow 1$  contribution.

Also, so far no evidence for a strong suppression in pA (LHC) for the same / larger invariant parton - nucleus energy. **If saturation gives little at LHC it should give little for RHIC as well for the same effective  $s$  !?**





## Leading hadron production in the central pA(pp) collisions

**Expectation:** The leading particle spectrum should be strongly suppressed in the central pA collisions as compared to minimal bias pp collisions since each leading parton **gets large transverse momentum and hence fragments independently** and may also split into a couple of partons with comparable energies. The especially pronounced suppression for nucleons: for  **$z \geq 0.1$**  the differential multiplicity of pions should exceed that of nucleons. This model neglects additional suppression due to finite fractional energy losses in BDR

$$\frac{1}{N} \left( \frac{dN}{dz} \right)^{pA \rightarrow h+X} = \sum_{a=q,g} \int dx \, x f_a^{(p)}(x, Q_{\text{eff}}^2) D_{h/a}(z/x, Q_{\text{eff}}^2)$$

The limiting curve of leading particles from hadron-nucleus collisions at infinite  $A$

A. Berera<sup>a,1</sup>, M. Strikman<sup>a</sup>, W.S. Toothacker<sup>b</sup>, W.D. Walker<sup>c</sup>, J.J. Whitmore<sup>a</sup>

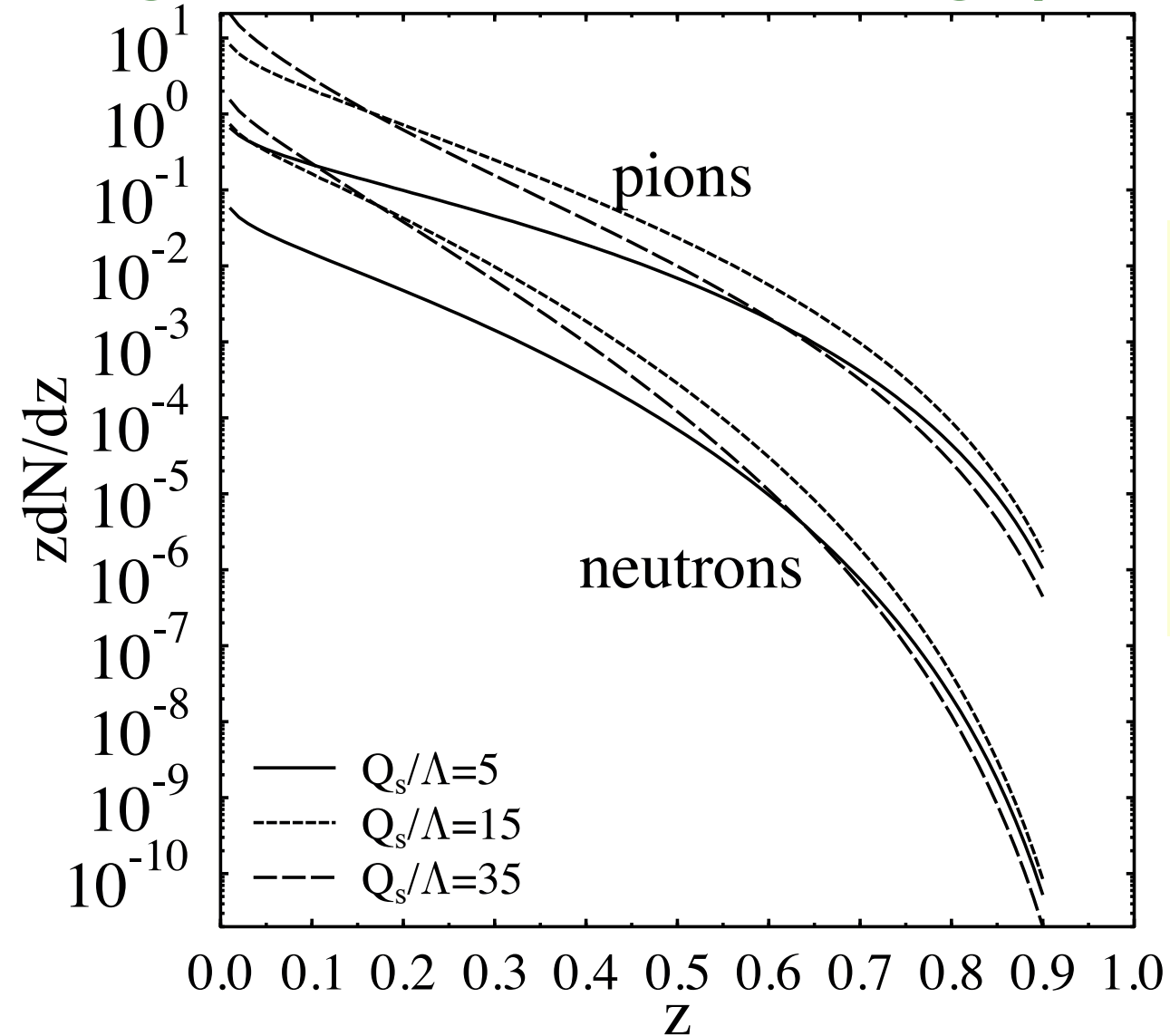
Physics Letters B 403 (1997) 1–7



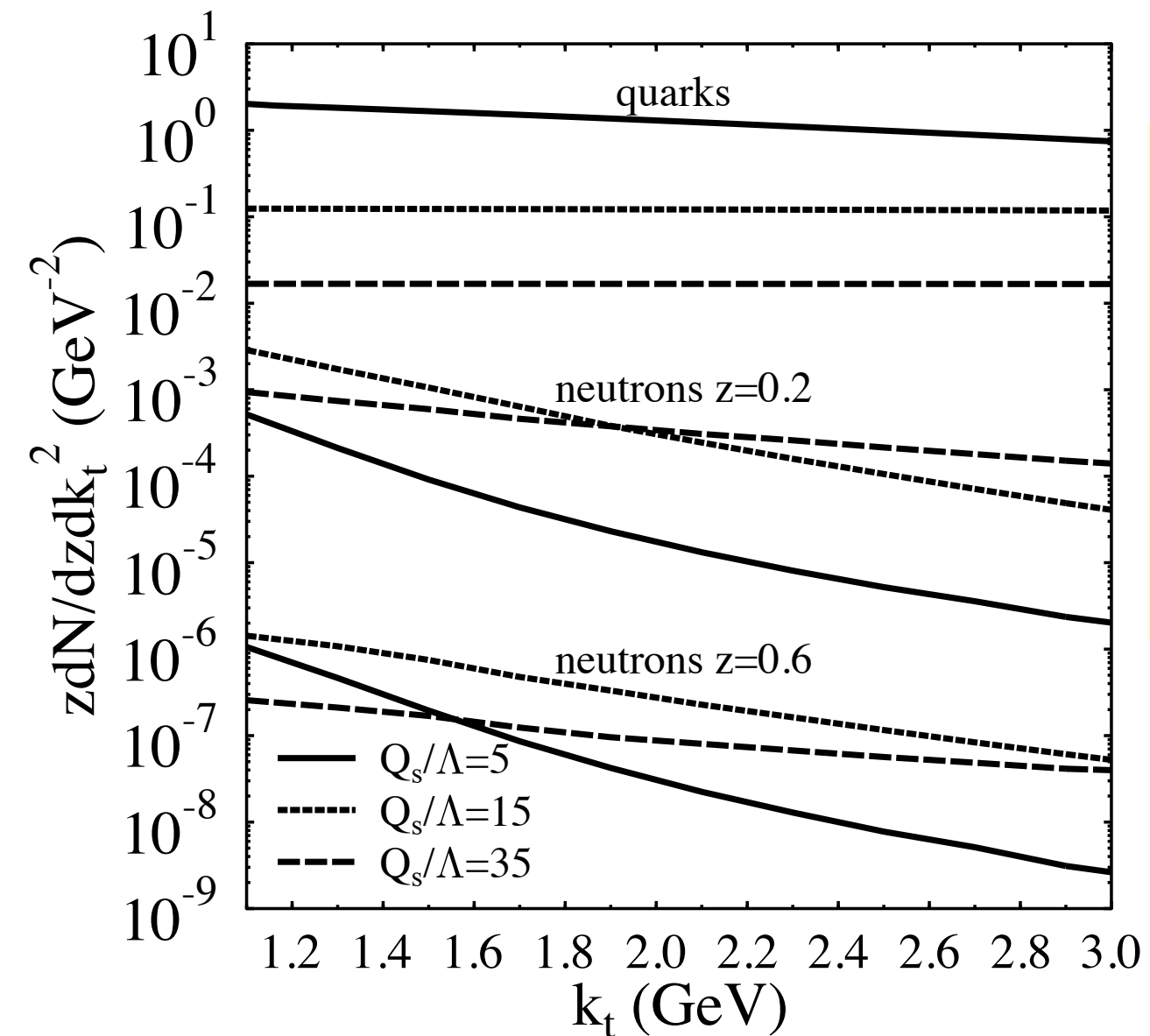
Simple model of  $p_t$  broadening - eikonal rescattering model with saturation (Boer, Dumitru 2003), effective energy losses (mentioned before) are neglected

$$C(k_t) \sim \frac{1}{Q_s^2 \log \frac{Q_s}{\Lambda_{QCD}}} \exp\left(-\frac{\pi k_t^2}{Q_s^2 \log \frac{Q_s}{\Lambda_{QCD}}}\right).$$

Quark gets a transverse momentum of the order  $Q_s$  but does not lose significant energy (account would strengthen the effect) Use of the convolution formula for fixed transverse momentum of the produced hadron using  $C(k_t)$  - Dumitru, Gerland, MS -PRL03. Other calculations with similar logic - Gelis, Stasto, Venugopalan (06)



Steep fall with  $z$ ,  
strong  $E_{inc}$   
dependence

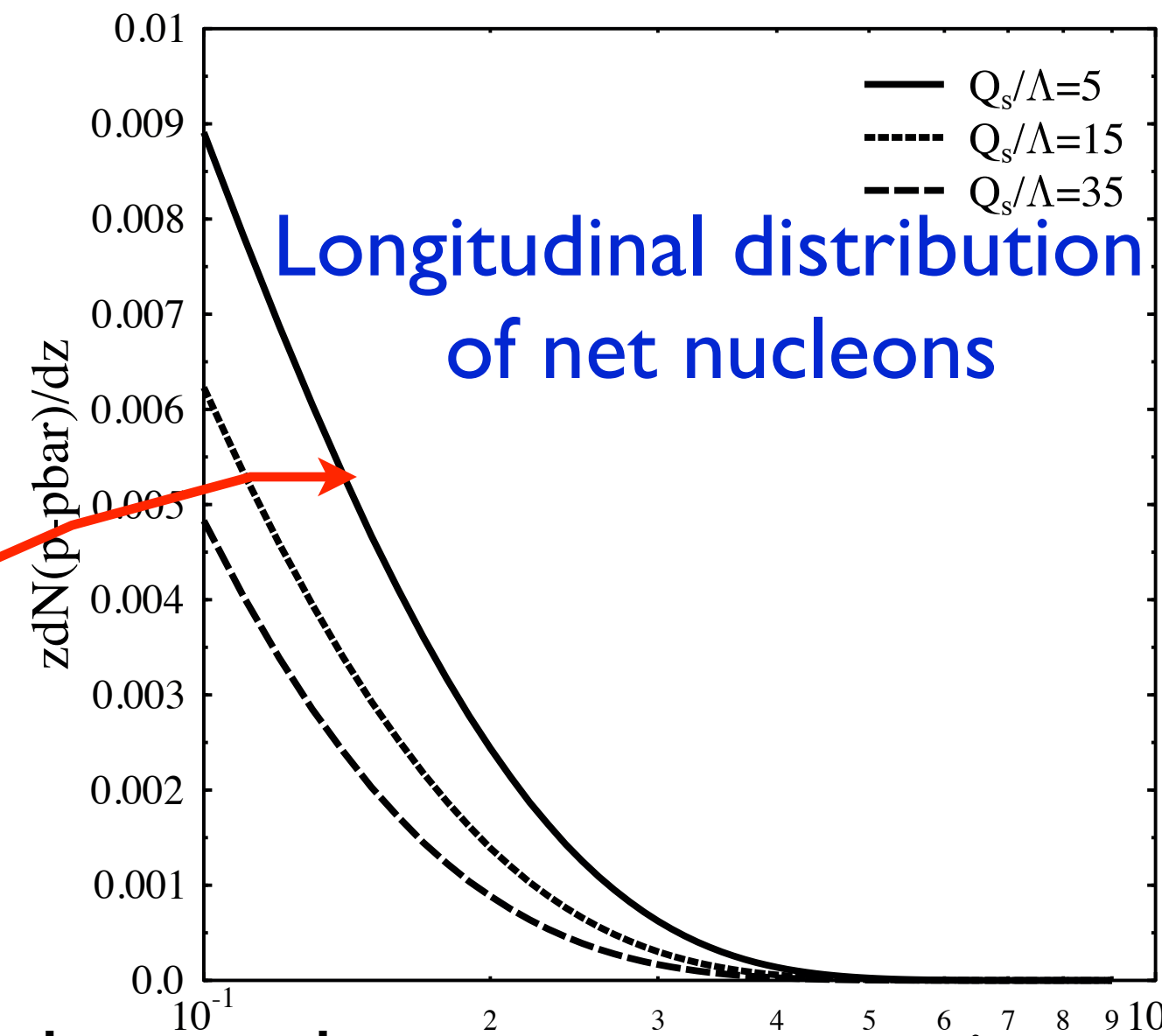


Weak  $p_t$   
dependence,  
becomes weaker  
with increase of  $E_{inc}$

Longitudinal (integrated over  $p_t$ ) and transverse distributions in CGC model for central pA collisions.

Spectra for central pp - the same trends. Qualitative feature shared by all models -- suppression should grow with energy for fixed  $x_F$ , and  $p_t$  distribution should broaden.

Very few forward baryons in central collisions!!!



Large flow of energy to central rapidities  
- obvious implications for AA

**Warnings:** Parton carrying a fraction  $y$  of the quark momentum carries  $y p_t$  part of the quark's transverse momentum. Condition for independent fragmentation  $y p_t > 1/r_N \sim .3 - 0.5 \text{ GeV}/c$

For RHIC (LHC) independent fragmentation is probably safe for  $z > 0.2$  (0.1)

Photon - proton contribution has to be subtracted!!!

Experimental prospects (perhaps too optimistic for LHC)

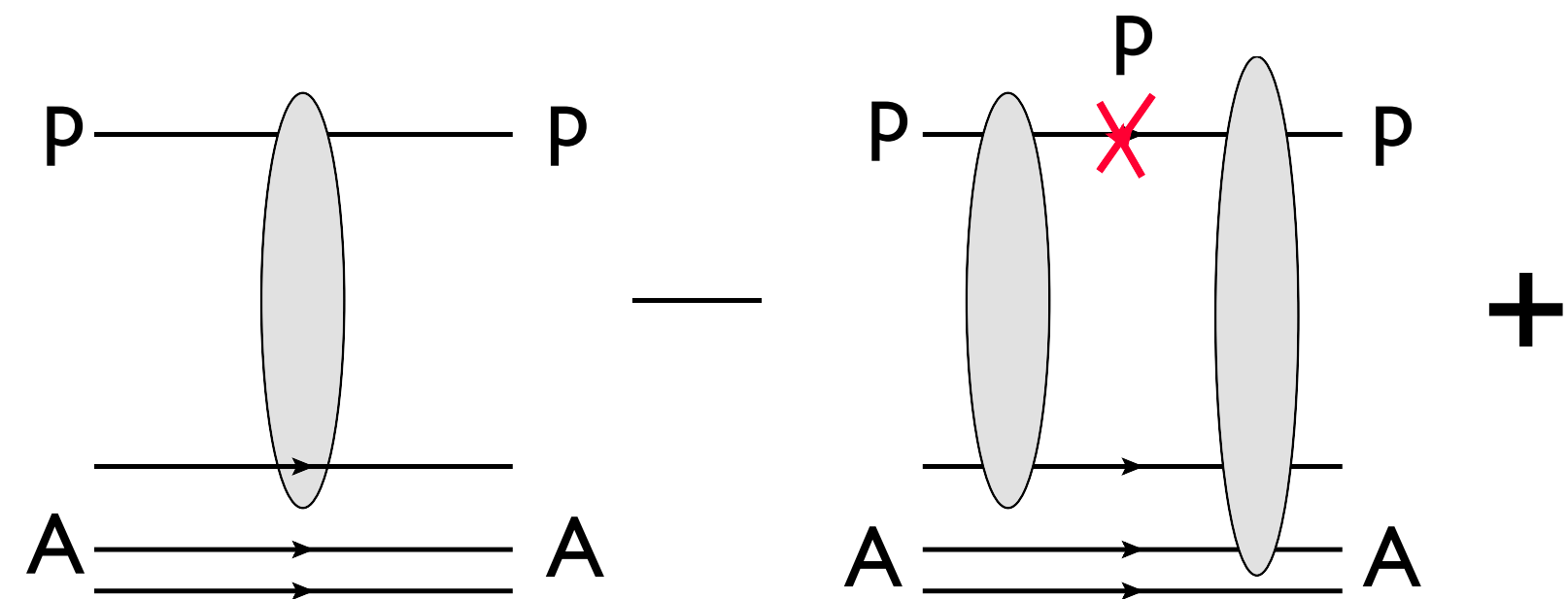
pA run at LHC: TOTEM:  $x_F \geq 0.8$  broad range of  $p_t$  can check both suppression and  $p_t$  broadening neutrons from ZDC (CMS, ALICE, LHCf);  $\pi^0$  (LHCf) - large  $z$ , moderate  $p_t$

RHIC: will allow pA runs at different energies and for several nuclei to avoid model dependent procedure for determining centrality of collision. Spin effect for neutrons ??? LHCf LOI for RHIC - nice way to compare pA at LHC and RHIC.

Warning: Color fluctuations in nucleon and nucleon density in nucleus may reduce the suppression

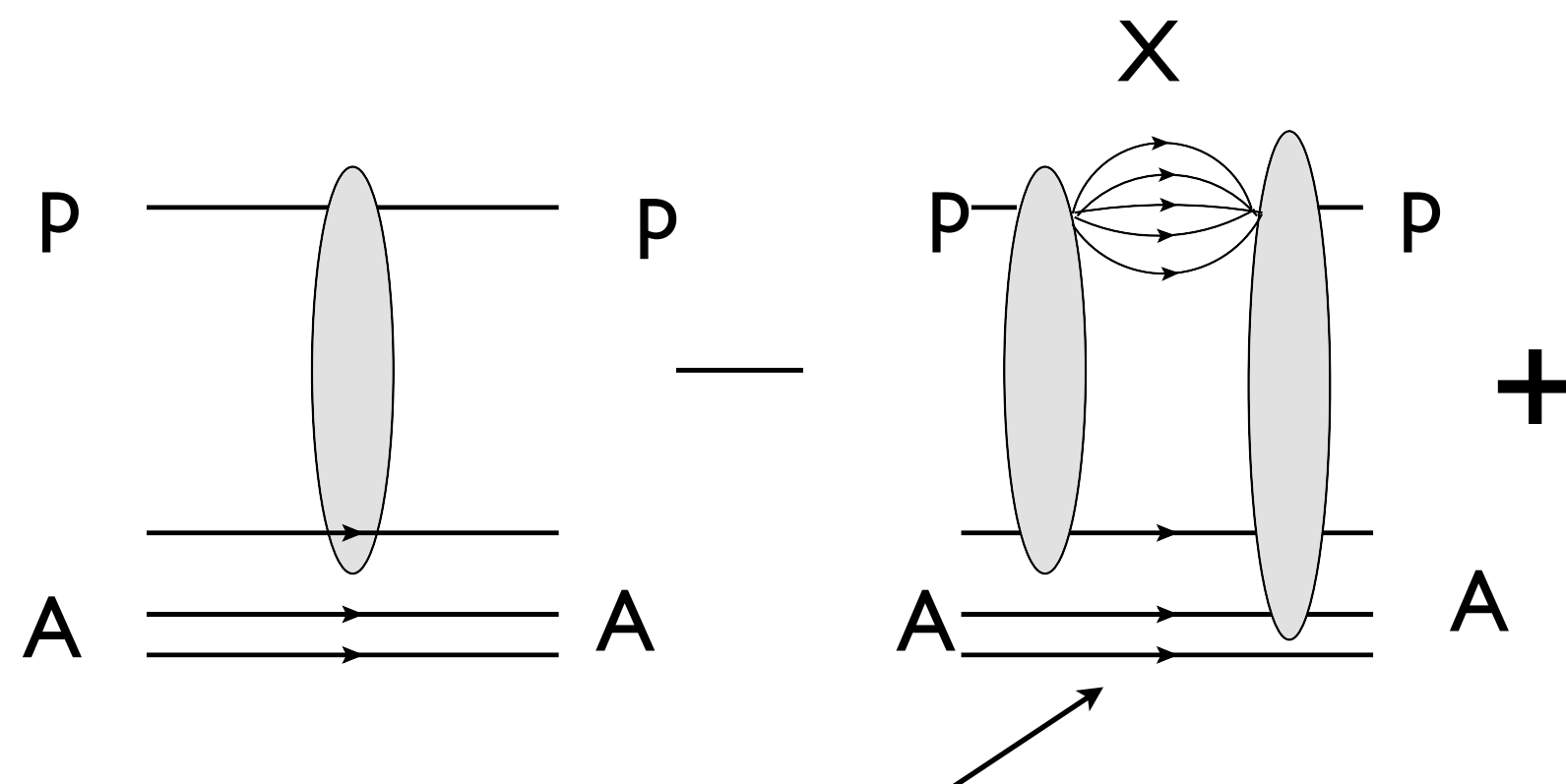
# COLOR FLUCTUATIONS & CONDITIONAL PDFs

High energy space-time picture of soft pA - Gribov - Glauber fundamentally different from low energy Glauber picture



*Glauber model*

in rescattering proton in intermediate state - zero at high energy - cancelation of planar diagrams (Mandelstam & Gribov)- no time for a proton to come together between nucleons. Violates energy conservation for cut through two exchanges



*High energies = Gribov -Glauber*

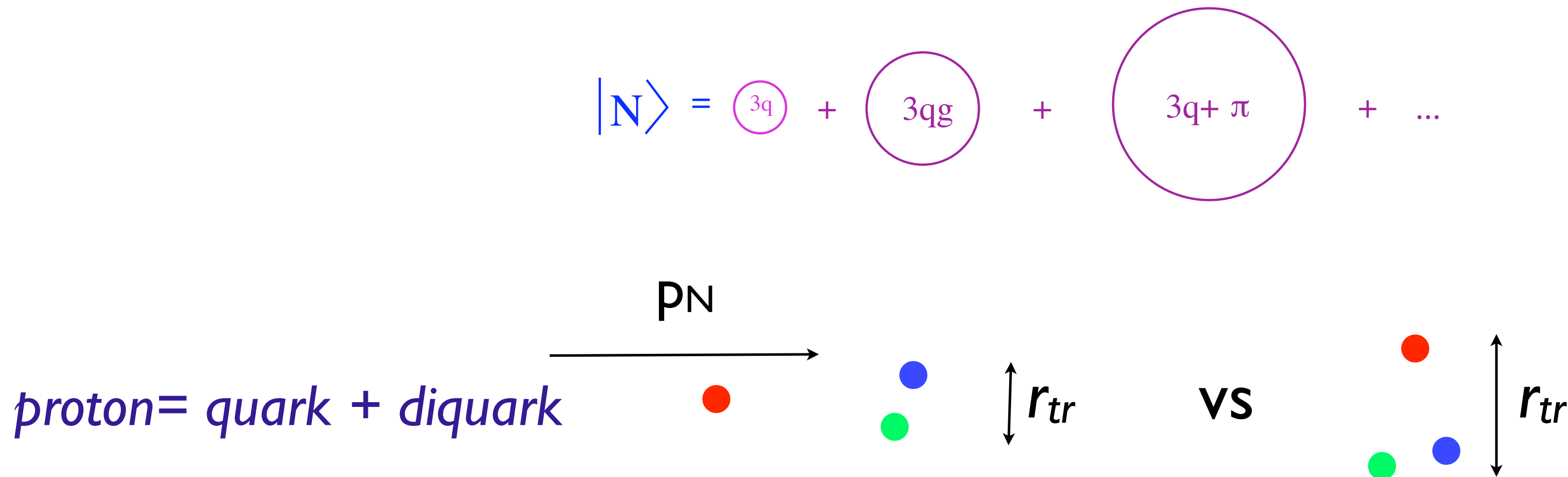
**X= set of intermediate states the same as in pN diffraction**

$$\sigma_2 \propto \int dt F_A^2(t) \frac{d\sigma(p + p \rightarrow p + X(p + inel diff))}{dt}$$

Deviations from Glauber for  $\sigma_{tot}(pA)$  are small for  $E_{inc} \sim 10$  GeV as inelastic diffraction is still small. They stay small for heavy nuclei for all energies. But for pD at ISR at large  $t$  effect is large  $\sim 40\%$ . An effective way to implement Gribov-Glauber picture of high energy pA interactions is the **concept of color fluctuations**

# Color fluctuations in the nucleon wave function & 3-dimensional mapping of the nucleon

Are there global fluctuations of the strength of interaction of a fast nucleon, for example due to fluctuations of the size /orientation. Extreme case - **color transparency**.



Due to a slow space-time evolution of the fast nucleon wave function one can treat the interaction as a superposition of interaction of configurations of different strength - Pommeranchuk & Feinberg, Good and Walker, Pumplin & Miettinen. In QCD this is reasonable for total cross sections and for diffraction at very small  $t$ .



Convenient quantity -  $P(\sigma)$  -probability that nucleon interacts with cross section  $\sigma$ .

$$\int P(\sigma) d\sigma = 1, \quad \int \sigma P(\sigma) d\sigma = \sigma_{\text{tot}},$$

$$\left. \frac{\frac{d\sigma(pp \rightarrow X+p)}{dt}}{\frac{d\sigma(pp \rightarrow p+p)}{dt}} \right|_{t=0} = \frac{\int (\sigma - \sigma_{\text{tot}})^2 P(\sigma) d\sigma}{\sigma_{\text{tot}}^2} \equiv \omega_\sigma \quad \text{variance} \quad \text{Pumplin \& Miettinen}$$

$$\omega_\sigma(\text{RHIC})=0.25$$

$$\omega_\sigma(\text{LHC})=0.20 \quad - \text{ more data are coming from LHC}$$

A very rough model illustrating scale of the effect

$$P(\sigma) = \frac{1}{2} \delta(\sigma - \sigma_{\text{tot}}(1 - \sqrt{\omega_\sigma})) + \frac{1}{2} \delta(\sigma - \sigma_{\text{tot}}(1 + \sqrt{\omega_\sigma}))$$

for RHIC  $\omega_\sigma=0.25$ ,  $\sigma_1=0.5\sigma_{\text{tot}}$ ;  $\sigma_2=1.5\sigma_{\text{tot}}$       for LHC  $\omega_\sigma=0.2$  (0.1?),  $\sigma_1=60\text{mb}$ ;  $\sigma_2=140\text{mb}$

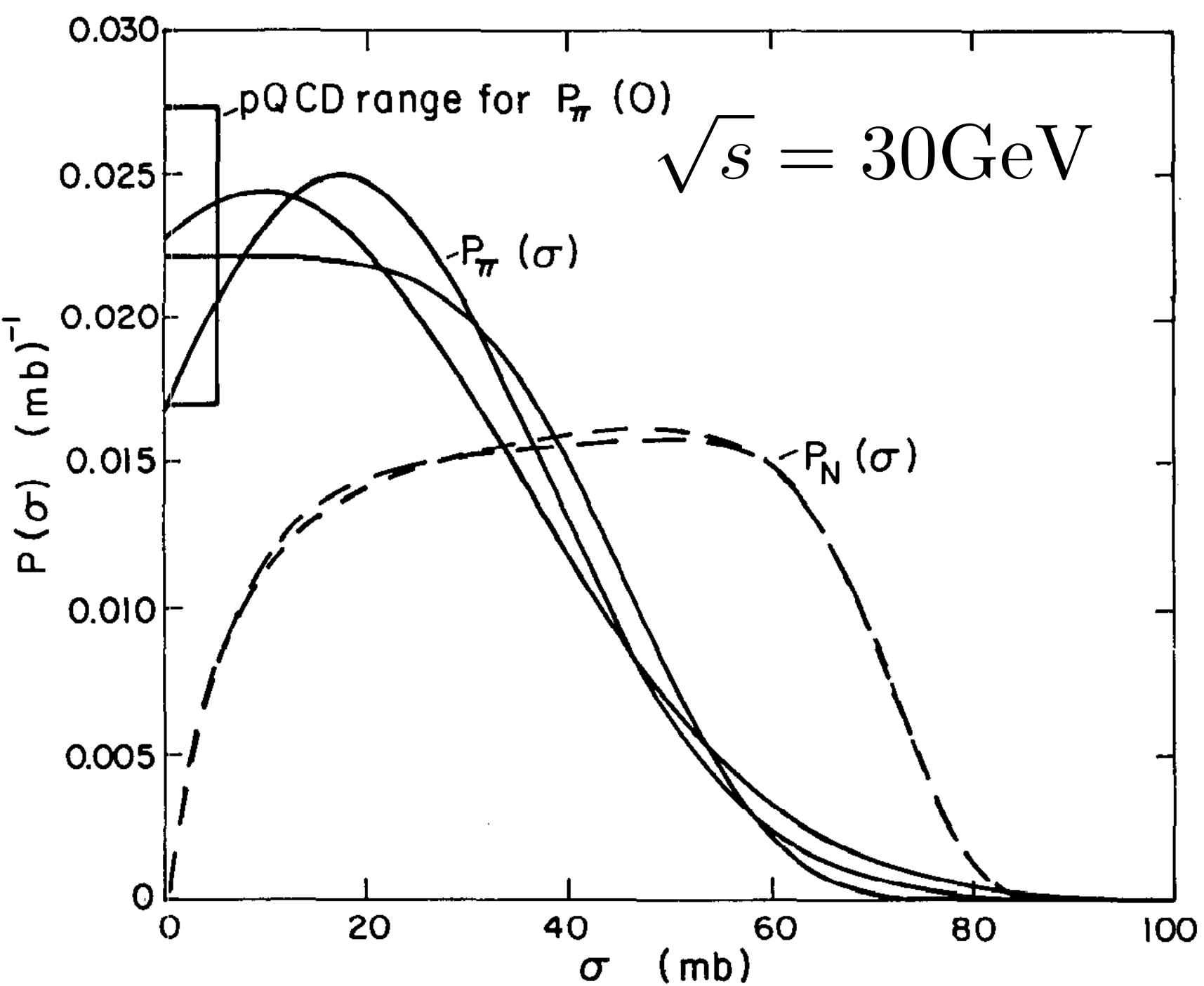
$$\int (\sigma - \sigma_{\text{tot}})^3 P(\sigma) d\sigma = 0,$$

Baym et al from pD diffraction

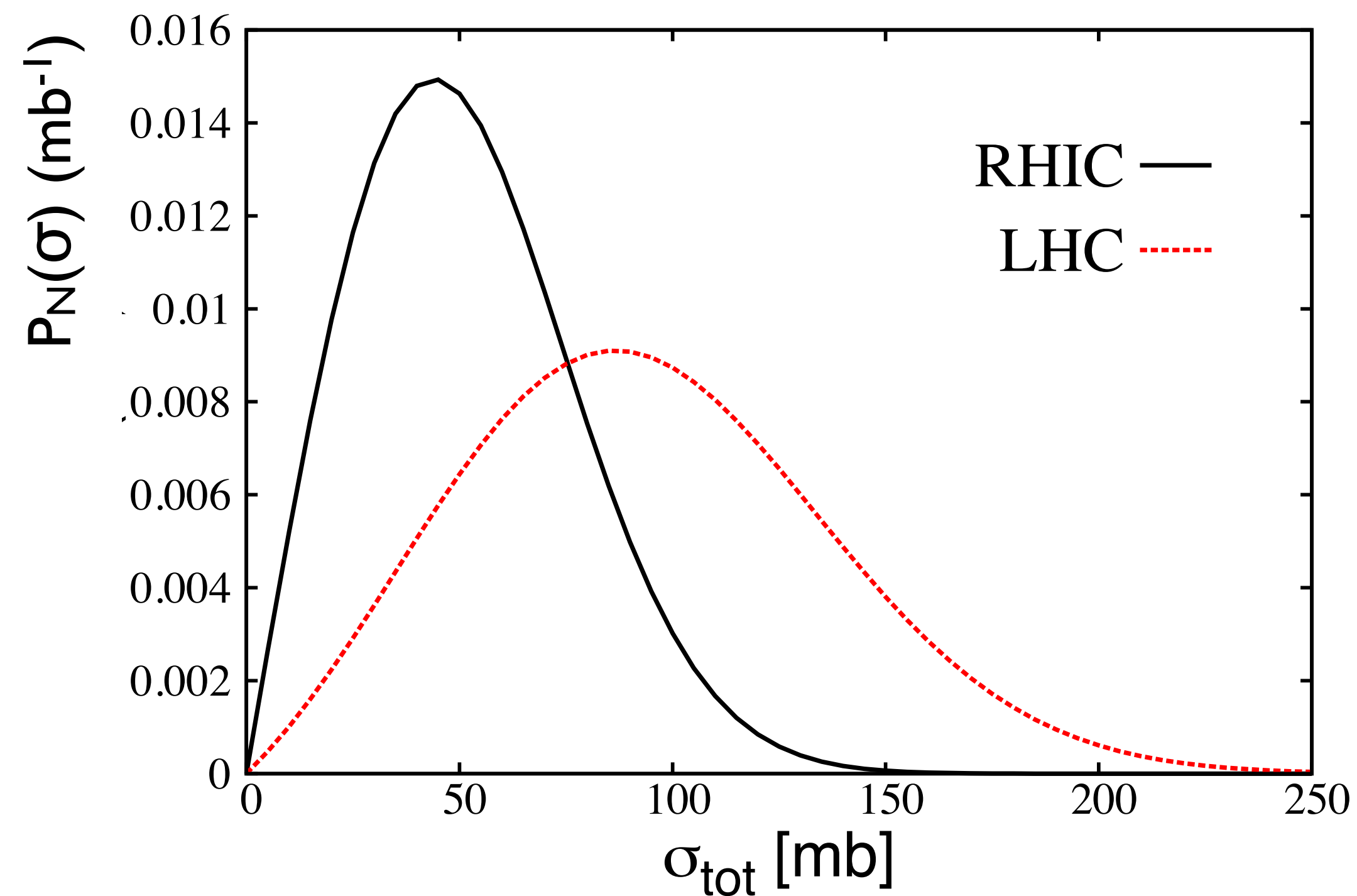
$$P(\sigma)|_{\sigma \rightarrow 0} \propto \sigma^{n_q-2}$$

Baym et al 1993





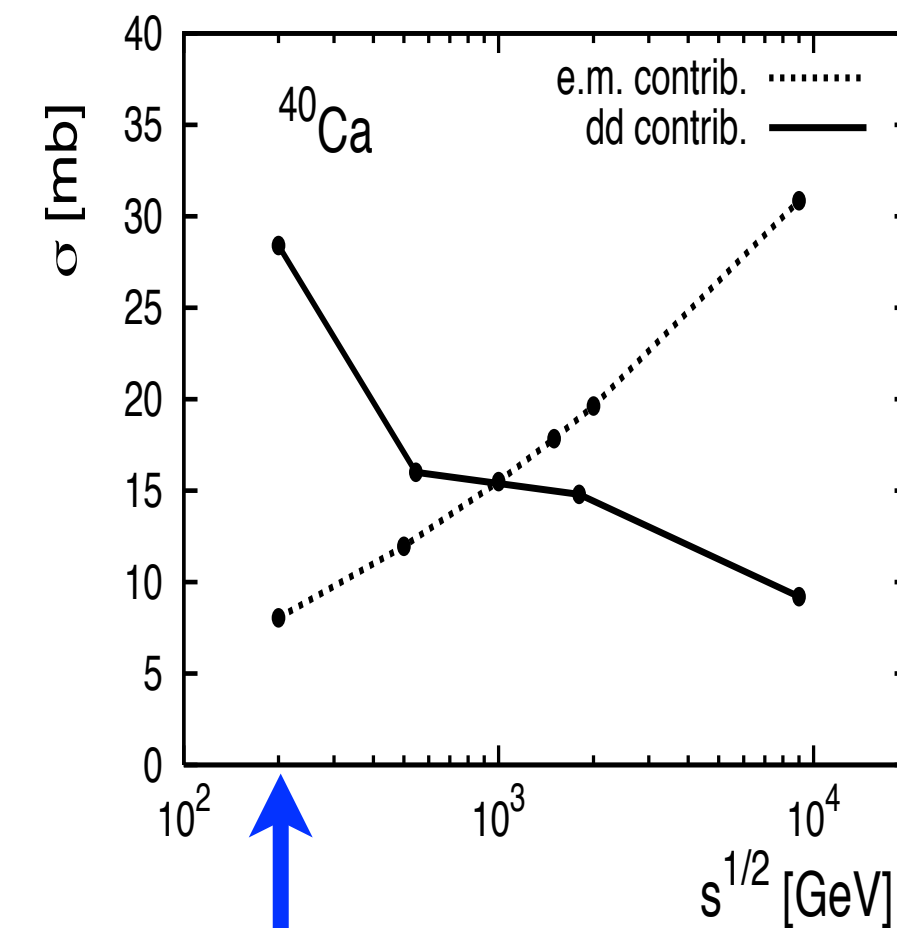
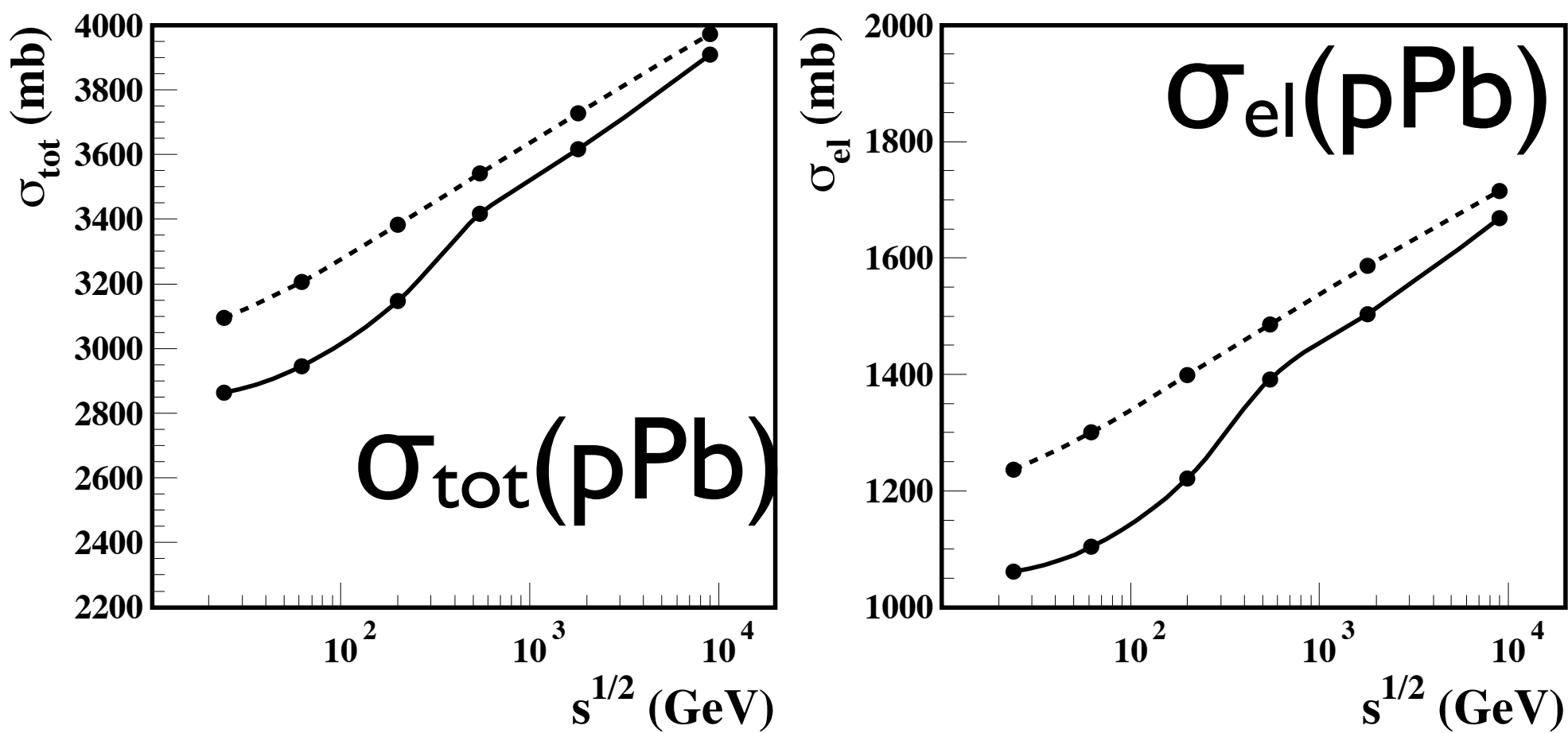
$P_N(\sigma)$  extracted from pp,pd  
 diffraction Baym et al 93.  
 $P_\pi(\sigma)$  is also shown



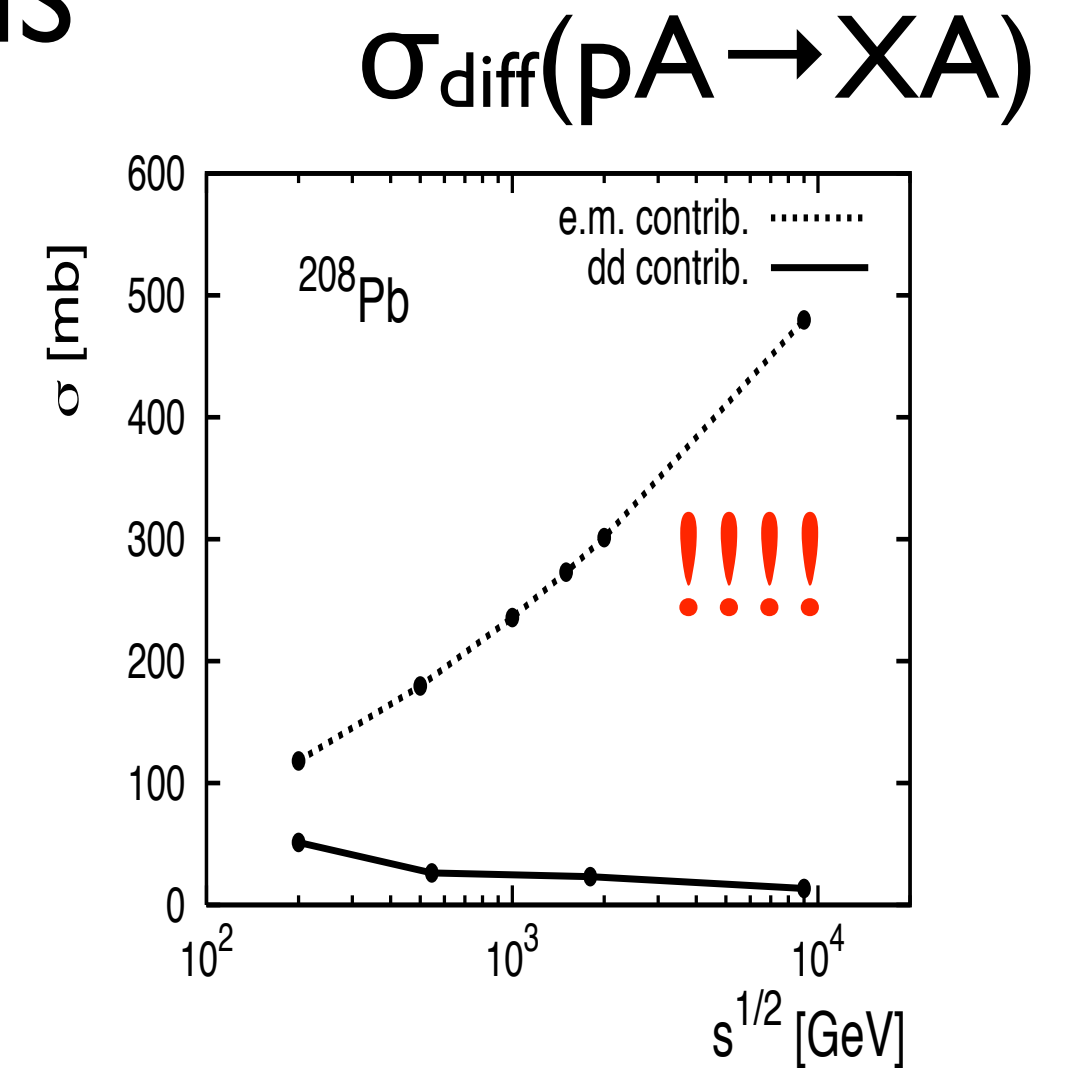
Extrapolation of Guzey & MS to  
 higher energy using diffractive data

# Color fluctuations/inelastic shadowing

## Guzey & MS



RHIC



⇒ E.M. interaction dominates by far in diffraction above RHIC energies

*true for hard diffraction as well (Guzey, MS)*

⇒ For RHIC for  $A=200$  comparable contributions, for  $A=40$ , e.m. contribution is a small correction. **A unique opportunity for RHIC.**  
Use ZDC to suppress break up?

Numerical calculations (Alvioli and MS) - event generator using our sets of nucleon configurations in nuclei with short-range correlations (small effect) and finite radius of NN interaction.

For NN scattering  $P_{\text{inel}}(\rho) = 1 - |1 - \Gamma(\rho)|^2$

We also took  $\sigma/B = \text{const}$  for fluctuations (corresponding to  $\sigma_{\text{el}}/\sigma_{\text{tot}} = \text{const}$ )

$$P_h(\sigma_{\text{tot}}) = r \frac{\sigma_{\text{tot}}}{\sigma_{\text{tot}} + \sigma_0} \exp\left\{-\frac{(\sigma_{\text{tot}}/\sigma_0 - 1)^2}{\Omega^2}\right\}$$

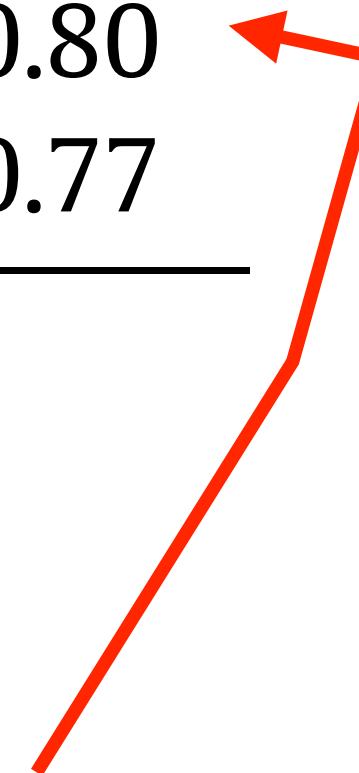
with parameters fixed to satisfy sum rules

Energy/model	Monte Carlo		
	$\langle N \rangle$	$\langle N^2 \rangle$	$\omega_N$
RHIC, Glauber	4.6	31.6	0.51
RHIC, GG2	4.7	38.9	0.74
RHIC, GG $P_h(\sigma_{tot})$	4.8	39.2	0.72
LHC, Glauber	6.7	72.4	0.59
LHC, GG2	6.8	84.2	0.80
LHC, GG $P_h(\sigma_{tot})$	6.8	82.1	0.77

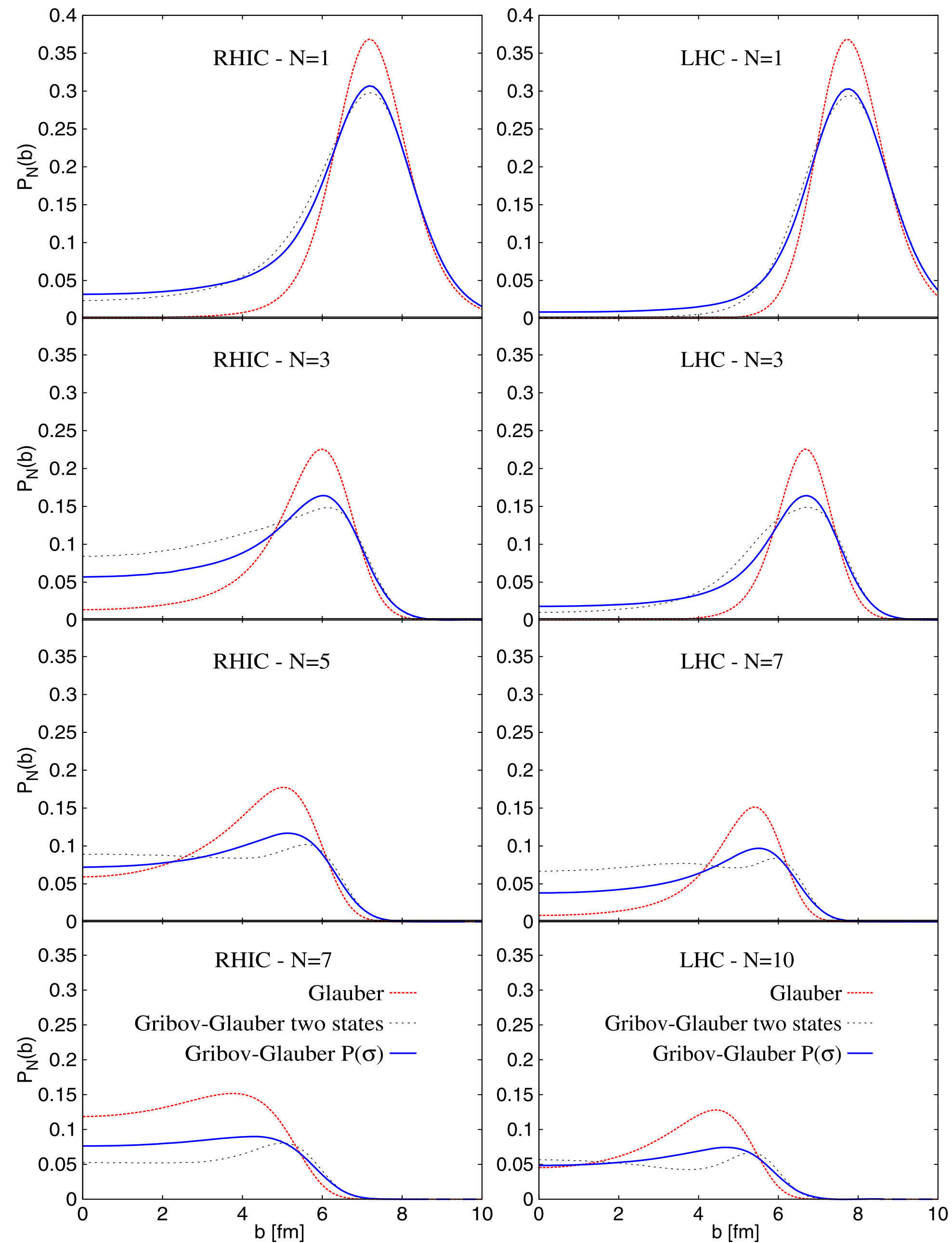
$$\omega_N \equiv \frac{\langle N^2 \rangle}{\langle N \rangle^2} - 1$$



Small effect for  $\langle N \rangle$



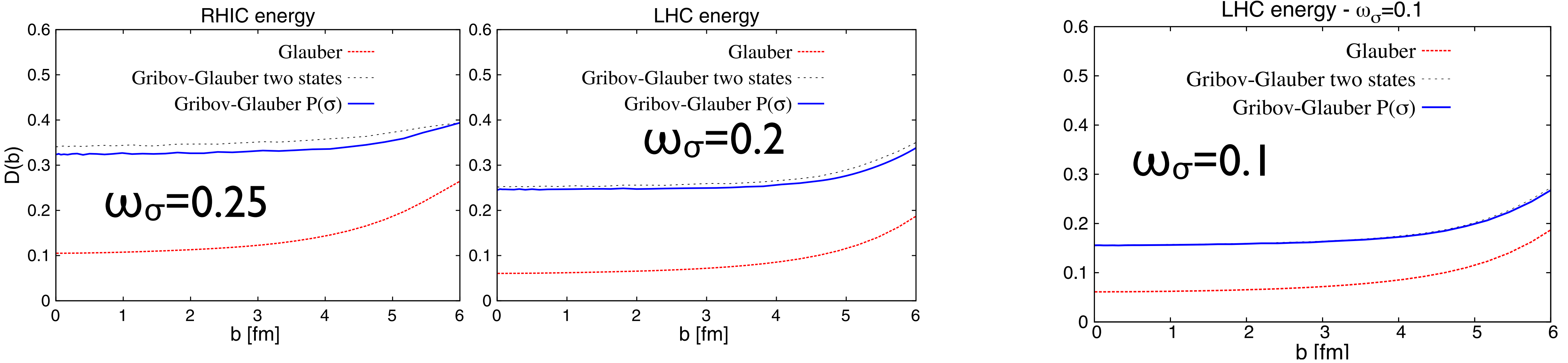
Large color fluctuation effect for dispersion even though in dispersion one integrates over impact parameters. Effect is much larger for fixed  $b$  - see below

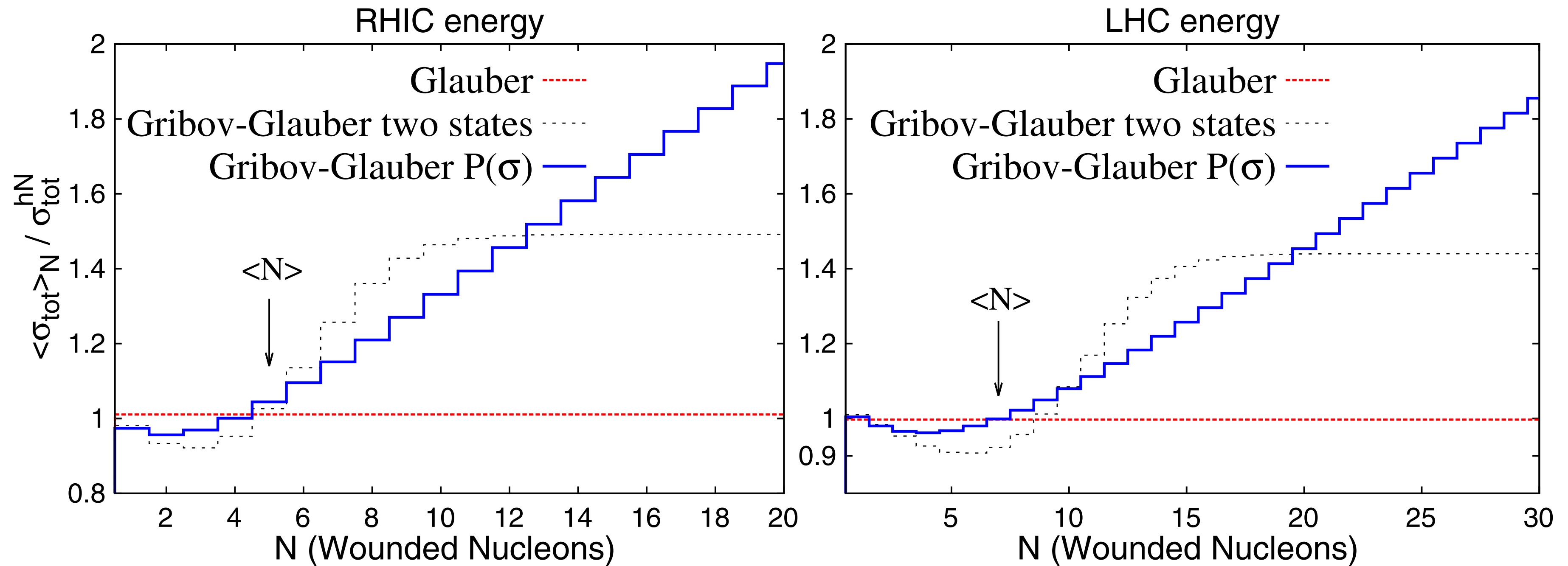


The probability  $P_N(b)$  of having  $N$  inelastically interacting (wounded) nucleons in a pA collision, vs. impact parameter  $b$ , when using simple Glauber (red curves) and a distribution  $P(\sigma)$  (green curves); We show the probabilities  $P_N(b)$  for  $N=1$  (top row) for both energies and the curves for  $N$  corresponding to  $\langle N \rangle$  and  $\langle N \rangle \pm 0.5 \langle N \rangle$  (remaining panels);  $\langle N \rangle$  is 5 and 7 for RHIC and LHC energies, respectively



# Fluctuations give dominant contribution to fluctuations of N for fixed b





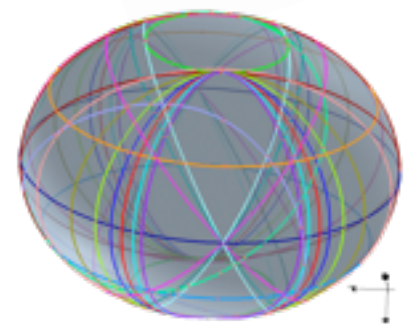
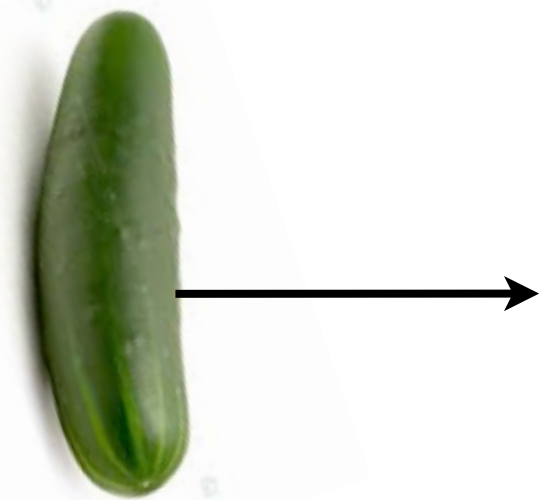
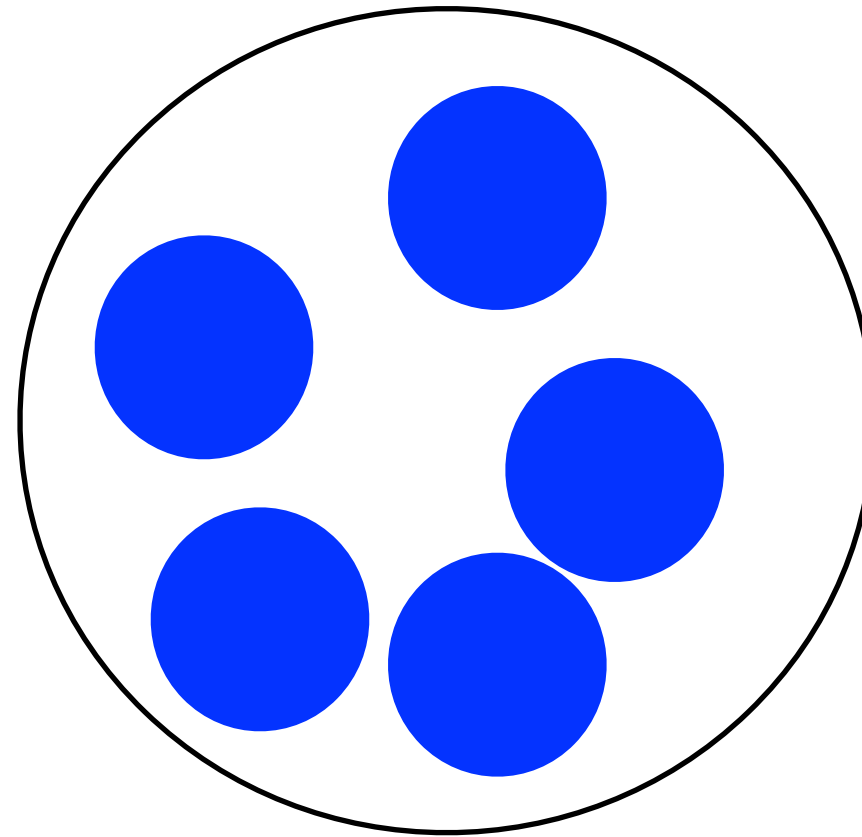
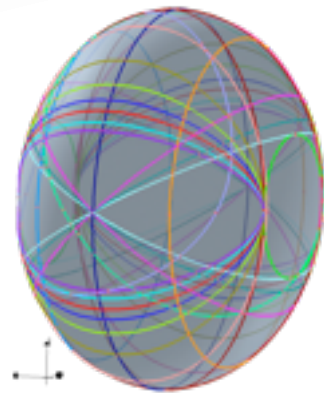
Effect of fluctuations on the event-by-event fluctuating values of cross section. Small number of wounded nucleons,  $M$ , selects  $\sigma$ 's smaller than average - large  $M$  --- -  $\sigma > \sigma_{tot}$

$$\frac{\langle \sigma_{tot} \rangle}{\sigma_{tot}^{hN}} \sim 2 \quad \text{for } N/\langle N \rangle = 4$$

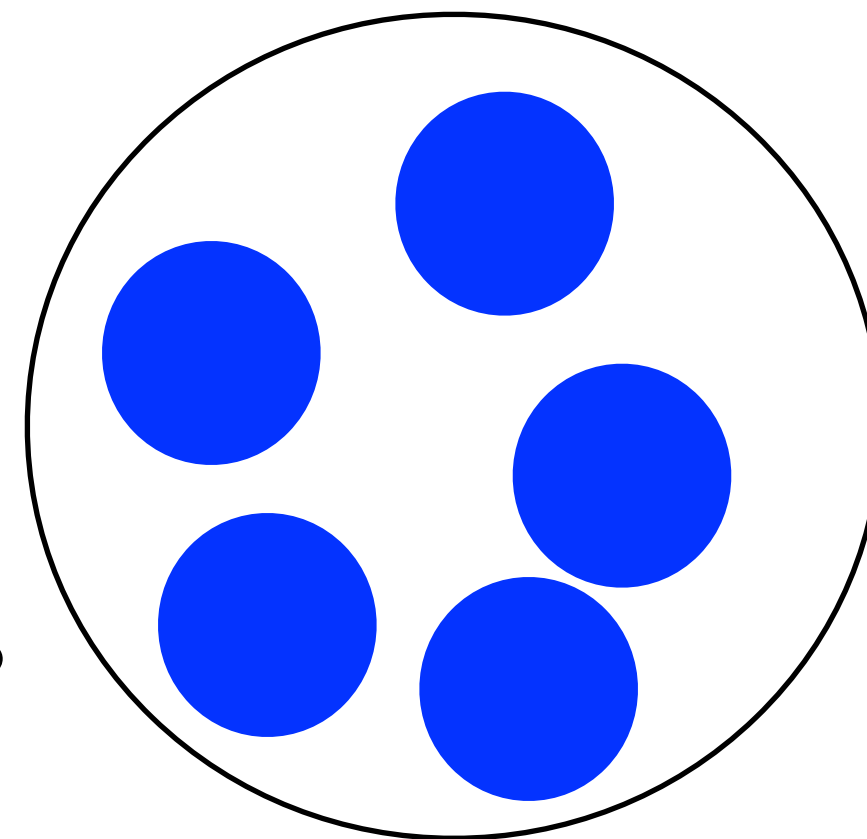
Reminder: RHIC studied d-Au - smaller effect of fluctuations for hard trigger.

Different  $\sigma$ 's --- different size, different shape, different parton densities

Conditional pdfs



would lead to ridges



# Correlation between the hard and soft components of the pA interaction.

*Idea:*

Use the hard trigger to determine  $x_p$  and low  $p_t$  hadrons to measure overall strength of interaction  $\sigma_{\text{eff}}$  of configuration in the proton with given  $x_p$  FS83. *Conditional pdfs*

LHC - jets with large  $p_t$  - -- practically no nuclear shadowing effects

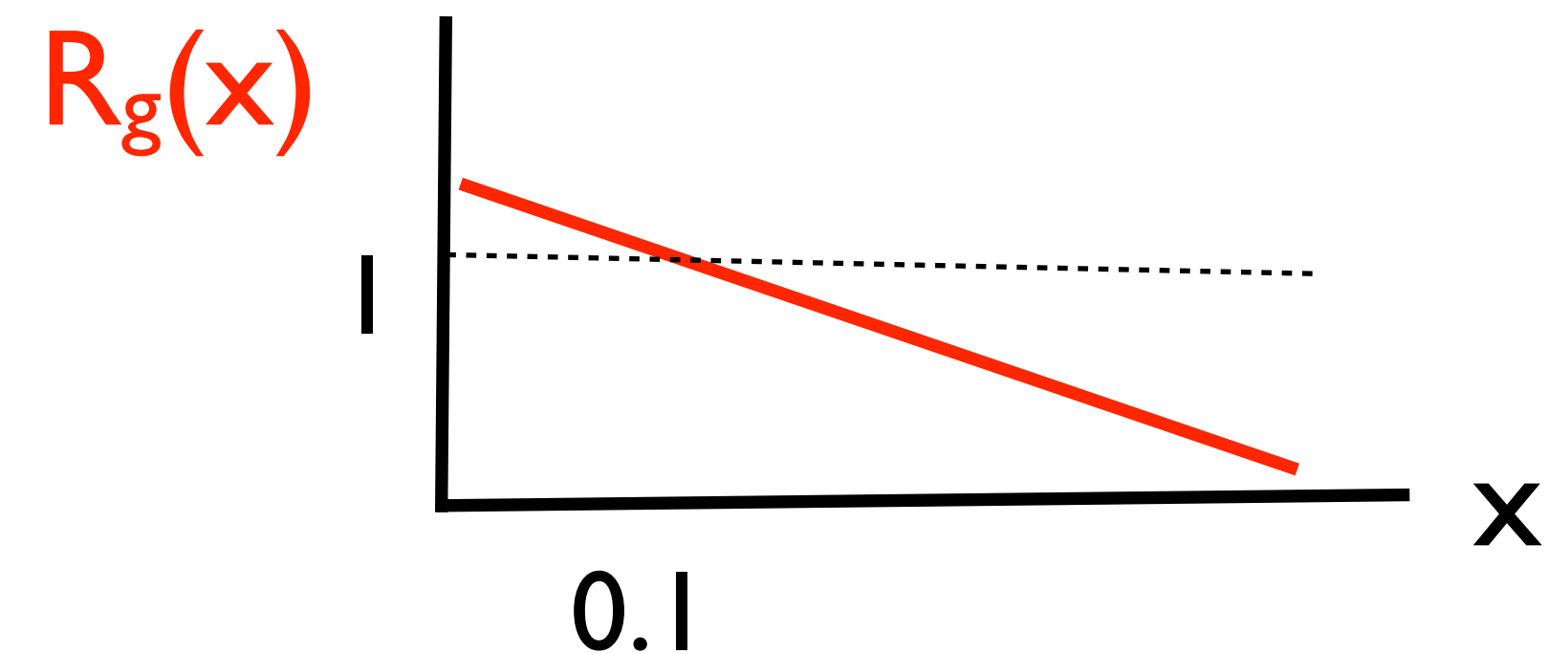
RHIC - mixed situation

**Expectation:** Larger the size, more gluon radiation, softer the  $x$  distribution

Illustration

$$G(x, Q^2 | \sigma) = G(x, \xi Q^2)$$

$$\xi(Q^2) \equiv (\sigma / \langle \sigma \rangle)^{\alpha_s(Q_0^2) / \alpha_s(Q^2)} \quad \text{where } Q_0^2 \sim 1 \text{ GeV}^2$$



gives a reasonable magnitude of fluctuations of the gluon density

*would result in different parton distribution in nucleons measured with different number of wounded nucleons, with no change in the inclusive case*

Alternative strategy - use a hard trigger which selects rare configurations in nucleon which are small size or large size (large number of wounded nucleons?)

The presence of a quark with large  $x > 0.6$  requires three quarks to exchange rather large momenta, one may expect that these configurations have a smaller transverse size (+ few gluons & sea quarks at low  $Q$  scale) and hence interact with the target with a smaller effective cross section:  $\sigma_{\text{eff}}$ .

Note: if  $x > 0.6$  configurations do have a size smaller than average, it would explain the EMC effect (FS83)

Selection of such  $x$  seems feasible at LHC. Can it be done at RHIC after forward upgrade?



Generically - there should be correlations between the rate of hard collisions and soft multiplicity - modifications in both nuclear pdfs and nucleon pdfs. Interpretation a bit more complicated at RHIC than at the LHC because of issue of account for energy conservation (PHENIX 1305.3540)

Especially interesting looking at events with the number of wounded nucleons  $> 1.5 \langle N \rangle$ . Changes in both conditional nuclear pdfs, and in proton.

## Conclusions on physics opportunities of pA:

- ➡ Will produce a novel information on strong interactions in the high gluon density kinematics for fixed nuclear thickness as a function of energy:  
*parton , groups of partons propagation through media in soft and hard regime including spin effects ( I do not large ones - hence not discussed )*
- ➡ Will complement pA run at LHC - critical for understanding how small  $x$  dynamics changes with energy
- ➡ Will allow to measure inelastic diffraction at the highest energy where it is still comparable/larger than e.m. contribution
- ➡ Check the color fluctuation dynamics for generic inelastic pA collisions, measure conditional nucleon/ nuclear pdfs.





